## A Highly Stereoselective Aza-[3,3]-Claisen **Rearrangement of Vinylaziridines as a Novel Entry** to Seven-Membered Lactams

Ulf M. Lindström and Peter Somfai\*

Organic Chemistry 2, Center for Chemistry and Chemical Engineering, Lund Institute of Technology Lund University, P.O. Box 124, S-221 00 Lund, Sweden

Received May 15, 1997

Signatropic rearrangements provide a powerful tool for stereocontrolled bond construction. Of these reactions, those variants in which a carbon-heteroatom bond, which is normally easily formed, is transformed into a carbon-carbon bond have proven particularly useful, prominent examples being the [2,3]-Wittig and [3,3]-Claisen rearrangements.<sup>1,2</sup> The often excellent stereoselectivities obtained in these reactions are usually rationalized by assuming cyclic transition states in which the stereochemical information embedded in the substrate is effectively communicated, for steric or stereoelectronic reasons, to the product. The main thrust in this area has traditionally been directed toward substrates in which the migrating bond is a C–O  $\sigma$ -bond, while other heterologs have received considerably less attention. In this respect we,<sup>3</sup> and others,<sup>4</sup> have recently documented the use of variously N-substituted vinylaziridines as substrates in the aza-[2,3]-Wittig rearrangement to yield the corresponding di- or trisubstituted tetrahydropyridines in high yield (a, eq 1), the driving force being the relief of ring strain.<sup>5</sup> It was also demonstrated that the stereochemical outcome of the reaction is dependent on the substitution pattern of the aziridine nuclei. In an effort to expand the synthetic potential of vinylaziridines we became interested in the possibility of using them in an aza-[3,3]-Claisen rearrangement,<sup>2b,6</sup> thus providing a novel entry to seven-membered lactams (b, eq 1),<sup>7,8</sup> compounds that are of interest in natural product synthesis<sup>9</sup> and as peptide turn mimetics.<sup>10</sup> Herein we disclose our preliminary findings in this area.

(7) For the rearrangement of N-vinyl and N-aryl vinylaziridines into azepines, see: Hudlicky, T.; Fan, R.; Reed, J. W.; Gadamasetti, K. G. Org. React. 1992, 41, 1–133.

(8) For an aza-[2,3]-Wittig rearrangement of 1-benzyl-4-vinyl-2-azetidinones into the corresponding seven-membered lactams, see: Durst, T.; Elzen, R. V. D.; LeBelle, M. J. J. Am. Chem. Soc. **1972**, 94, 9261–9263. (9) Evans, P. A.; Holmes, A. B. Tetrahedron 1991, 47, 9131-9166.



N-Alkyl and N-sulfonyl vinylaziridines have received considerable interest as intermediates in the stereoselective synthesis of alkaloids and peptidomimetics, and, as a consequence, several efficient routes toward them have been developed.<sup>11,12</sup> Somewhat surprisingly, the corresponding N-acyl and N-H vinylaziridines, which might serve as a precursor for all types of vinylaziridines, have received less attention, and, prior to this investigation, no general and enantioselective synthesis of them has been documented. The N-acyl vinylaziridines 4a-grequired for the present study were prepared from the corresponding vinylepoxides  $1a-d^{13}$  (ee >95%) as outlined in Scheme 1. Acid-catalyzed aminolysis of 1 resulted in a stereospecific and highly regioselective ring-opening to give amino alcohols 2 (69-93%).<sup>14</sup> The subsequent ring closure was best effected using the standard Mitsunobu protocol,<sup>15</sup> affording aziridines 3(49-54%) and treatment of these materials with acetic anhydride, propionic anhydride, benzyloxyacetic anhydride, or N-Boc glycine anhydride16 gave the N-acyl vinylaziridines 4a-g, the precursors for the projected rearrangement. The crude products from the acylation step were judged to be >95% pure according to <sup>1</sup>H NMR spectroscopy. However, attempts to purify 4b on silica gel resulted in a quantitative rearrangement into the corresponding trans-4,5disubstituted oxazoline 5,17 and, consequently, the crude products from the acylation step were used directly in the subsequent Claisen rearrangements, the yields of which thus refer to such two-step sequences.

The results of the aza-[3,3]-Claisen rearrangements are collected in Scheme 2. When N-acetyl vinylaziridine 4a was added to LiHMDS in THF at -78 °C followed by slowly warming the resultant mixture to room temperature, lactam 6a

(12) For a recent listing of vinylaziridine syntheses, see ref. 3a.

(14) Lindström, U. M.; Franckowiak, R.; Pinault, N.; Somfai, P. *Tetrahedron Lett.* **1997**, *38*, 2027–2030.

(15) Hughes, D. L. Org. React. **1992**, 42, 335–656. Pfister, J. R. Synthesis **1984**, 969–970. Carlock, J. T.; Mack, M. P. Tetrahedron Lett. 1978. 5153-5156.

(16) Chen, F. M. F.; Kuroda, K.; Benoiton, N. L. Synthesis 1978, 928-929<sup>°</sup>.

(17) Eastwood, F. W.; Perlmutter, P.; Yang, Q. J. Chem. Soc., Perkin Trans. 1 **1997**, 35–42. Mente, P. G.; Heine, H. W.; Scharoubim, G. R. J. Org. Chem. **1968**, 33, 4547–4548.

<sup>\*</sup> Corresponding author. Telephone: +46 46 2228220. FAX: +46 46 2228209. E-mail: peter.somfai@orgk2.lth.se

<sup>(1)</sup> Nakai, T.; Mikami, K. Org. Keact. 1994, 46, 105-209. Marshall, J. A. In Comprehensive Organic Synthesis; Trost, B. M., Fleming, I., Eds.; Pergamon: Oxford, 1991; Vol. 3, Chapter 3.11. Mikami, K.; Nakai, T. Synthesis 1991, 594-604.

<sup>(2) (</sup>a) Kazmaier, U. Liebigs Ann./Recueil 1997, 285-295. (b) Enders, D.; Knopp, M.; Schiffers, R. *Tetrahedron: Asymmetry* **1996**, *7*, 1847–1882. (c) Frauenrath, H. In *Houben-Weyl E 21*; Helmchen, G., Hoffmann, R. W., Mulzer, J., Schaumann, E., Eds.; Thieme: Stuttgart, 1996; Vol. 6, Chapter 1.6.3.1. (d) Pereira, S.; Srebnik, M. Aldrichim. Acta 1993, 26, 17-29. (e) Wipf, P. In *Comprehensive Organic Synthesis*; Trost, B. M., Fleming, I., Eds.; Pergamon: Oxford, 1991; Vol. 5, Chapter 7.2. (f) Hill, R. K. In Asymmetric Synthesis; Morrison, J. D., Ed.; Academic: Orlando, 1984; Vol. 3, Chapter 8.

<sup>(3) (</sup>a) Åhman, J.; Jarevång, T.; Somfai, P. J. Org. Chem. 1996, 61, 8148-8159. (b) Ahman, J.; Somfai, P. Tetrahedron Lett. 1996, 37, 2495-

<sup>2498. (</sup>c) Åhman, J.; Somfai, P. J. Am. Chem. Soc. 1994, 116, 9781-9782. (4) Coldham, I.; Collis, A. J.; Mould, R. J.; Rathmell, R. E. J. Chem. Soc., Perkin Trans. 1 1995, 2739–2745.

<sup>(5)</sup> For a general discussion, see: Strain-Assisted Syntheses; Ghosez, L.,

<sup>(6)</sup> For a general discussion, see. Strain-Assisted Symmeses, Ollosez, L.,
Ed.; Tetrahedron Symposia-in-Print 38; Tetrahedron 1989, 45, 2875-3231.
(6) For previous attempts in this area, see: Gilbert, J. C.; Cousins, K.
R. Tetrahedron 1994, 50, 10671-10684. Tsunoda, T.; Sasaki, O.; Itô, S.
Tetrahedron Lett. 1990, 31, 727-730. Kurth, M. J.; Brown, E. G. Synthesis **1988**, 362–366. Kurth, M. J.; Soares, C. J. *Tetrahedron Lett.* **1987**, 28, 1031–1034. Kurth, M. J.; Decker, O. H. W.; Hope, H.; Yanuck, M. D. J. *Am. Chem. Soc.* **1985**, *107*, 443–448. Hill, R. K.; Khatri, H. N. *Tetrahedron* Lett. 1978, 4337-4340. Jolidon, S.; Hansen, H.-J. Helv. Chim. Acta 1977, 60, 978-1032.

<sup>(10)</sup> Robl, J. A.; Cimarusti, M. P.; Simpkins, L. M.; Weller, H. N.; Pan, Y. Y.; Malley, M.; DiMarco, J. D. *J. Am. Chem. Soc.* **1994**, *116*, 2348–2335. Brady, S. F.; Paleveda, W. J., Jr.; Arison, B. H.; Saperstein, R.; Brady, E. J.; Raynor, K.; Reisine, T.; Veber, D. F.; Freidinger, R. M. *Tetrahedron* 1993, 49, 3449-3466. Yanagisawa, H.; Ishihara, S.; Ando, A.; Kanazaki, T.; Miyamoto, S.; Koike, H.; Iijima, Y.; Oizumi, K.; Matsushita, Y.; Hata, T. J. Med. Chem. 1988, 31, 422-428.

<sup>(11)</sup> For recent applications of vinylaziridines in organic synthesis, see: Ibuka, T.; Mimura, N.; Aoyama, H.; Akaji, M.; Ohno, H.; Miwa, Y.; Taga, T.; Nakai, K.; Tamamura, H.; Fujii, N.; Yamamoto, Y. J. Org. Chem. **1997**, 62, 999–1015. Wipf, P.; Fritch, P. C. J. Org. Chem. **1994**, 59, 4875– 4886. Hudlicky, T.; Tian, X.; Königsberger, K.; Rouden, L. J. Org. Chem. **1994**, 59, 4037–4039. Ibuka, T.; Nakai, K.; Habashita, H.; Hotta, Y.; Fujii, N.; Mimura, N.; Miwa, Y.; Taga, T.; Yamamoto, Y. Angew. Chem., Int. Ed. Engl. 1994, 33, 652–654. Tanner, D. Angew. Chem, Int. Ed. Engl. 1994, 33, 599–619. Hudlicky, T.; Reed, J. W. Comprehensive Organic Synthesis; Trost, B. M., Fleming, I., Eds.; Pergamon: Oxford, 1991; Vol. 5, Chapter 8.1.

<sup>(13)</sup> Vinyl epoxides 1a-c were obtained from the corresponding epoxy alcohols: Díez-Martin, D.; Kotecha, N. R.; Ley, S. V.; Mantegani, S.; Menéndez, J. C.; Organ, H. M.; White, A. Banks, B. J. *Tetrahedron* 1992, 48, 7899–7938. Compound **1d** was prepared in racemic form by MCPBA epoxidation of (*E*,*E*)-1-benzyloxy-2,4-hexadiene.

was formed in 83% yield. Repeating the procedure with 4b gave 6b in equally good yield (83%). Having thus established the feasibility of the rearrangement our next concern was the stereochemical outcome when using more highly substituted substrates. Deprotonation of 4c, the  $\alpha$ -benzyloxy derivative 4dand the glycine amide 4e, which are known to give preferentially the corresponding (Z)-enolates,<sup>18</sup> and rearrangement gave the seven-membered lactams 6c (85%,  $\alpha$ : $\beta$  22:1), 6d (81%), and 6e (76%), respectively. For the last two cases only single diastereomers of the products could be detected. Similarly, (Z)and (E)-alkenyl derivatives 4f and 4g were rearranged into 6f (73%) and **6g** (73%), respectively, as the only detectable diastereomers in each case,<sup>19</sup> indicating that the stereochemistry of the olefinic moiety is retained throughout the reaction. The relative stereochemistry of 6c-g was secured by NOE analysis in each case, showing an interaction between the C3 and C7 methine protons, while that of 6f and 6g also required an inspection of the relevant coupling constants in their <sup>1</sup>H NMR spectra.20

The results from the rearrangements of vinylaziridines 4 can be rationalized by assuming that the reaction proceeds through the six-membered boat-like transition-state assembly 7 (eq 2). It should be noted that boat transition states have been invoked previously to explain the outcome in Claisen-type rearrangements of certain cyclic substrates, while the acyclic cases are generally believed to involve chair-like structures.<sup>2d,e</sup> The main features of 7 are that the olefin and enolate moieties are *cis* in order to facilitate bond formation and that both these groups adopt an endo conformation, projecting over the three-membered ring. Bond formation between the enolate and the alkene and concomitant opening of the aziridine gives the observed products. This model then correctly accounts for (i) the formation of  $\alpha$ -isomers 6c-e when deprotonating and rearranging 4c-e, the sound assumption being that (Z)-enolates are involved in each case,<sup>18,21</sup> and (ii) the stereochemical outcome when using the alkenyl derivatives 4f and 4g. It is also presumed that the ease with which these transformations occur is a consequence of the considerable relief of ring strain when going from a three- to a seven-membered ring.



Some additional support for the above model was obtained when trying to rearrange vinylaziridine **8**, prepared from the corresponding *cis*-vinylepoxide by the route shown in Scheme 1. Deprotonation of **8** (LiHMDS, -78 °C) followed by warming to room temperature and quenching with D<sub>2</sub>O gave only recovered starting material (10%), with complete incorporation of deuterium at the  $\alpha$ -position, along with decomposed material, indicating that for steric reasons the enolate derived from **8** is not capable of attaining the required transition state structure to participate in the [3,3]-rearrangement.



(18) Tanner, D.; Birgersson, C.; Gogoll, A.; Luthman, K. *Tetrahedron* **1994**, *50*, 9779–9824. Evans, D. A.; Nelson J. V.; Taber, T. R. In *Topics in Stereochemistry*; Allinger, N. L., Eliel, E. L., Wilen, S. H., Eds.; Wiley: New York, 1982; Vol. 13, Chapter 1.

Scheme 1<sup>a</sup>



 $^a$  Conditions: (a) NH<sub>3</sub>, TsOH·H<sub>2</sub>O, 130 °C, 4 days, 69–93%. (b) DEAD, Ph<sub>3</sub>P, THF,  $\Delta$ , 49–54%. (c) (R<sup>2</sup>CH<sub>2</sub>CO)<sub>2</sub>O, Et<sub>3</sub>N, DMAP, CH<sub>2</sub>Cl<sub>2</sub>.





In conclusion, we have described a novel and highly stereoselective aza-[3,3]-Claisen rearrangement of *N*-acyl vinylaziridines into the corresponding tetrahydroazepin-2-ones. Work is in progress to investigate the scope of this reaction and to apply it in natural product synthesis and for the preparation of peptidomimetics.

Acknowledgment. This work was supported financially by the *Swedish Natural Science Research Council*. We are grateful to Ms. N. Pinault for the initial preparation of aziridine 8 and to Dr. A.-L. Gustavsson for the conformational analysis of 6a-g.

Supporting Information Available: A procedure for the rearrangement of vinylaziridine 4b and spectroscopic data for compounds 4a-g and 6a-g (5 pages). See any current masthead page for ordering and Internet access instructions.

## JA971572V

<sup>(19)</sup> The isomeric ratio of **4f** (*E*:*Z* 1:13) was retained in the rearrangement to give **6f** ( $\alpha$ : $\beta$  1:13).

<sup>(20)</sup> Compounds **6a**-**g** adopts a "boat-like" conformation in which H-3 $\beta$  and H-7 are in close proximity, as judged from NOE experiments and confirmed by conformational analysis using MacroModel 5.5 (MM2). Selected <sup>1</sup>H NMR data for **6f**:  $\delta$  2.37 (ddd, J = 12.1, 6.2, 1.7 Hz, H-3 $\alpha$ ), 2.73 (t, J = 12.1 Hz, H-3 $\beta$ ). **6g**:  $\delta$  2.60 (ddt, J = 13.3, 5.4, 1.5 Hz, H-3 $\alpha$ ), 3.00 (dd, J = 13.3, 4.0 Hz, H-3 $\beta$ ).

<sup>(21)</sup> This explains why the enolates derived from 4d,e, which have the possibility of forming chelated (Z)-enolates, rearrange with higher selectivities than the enolate derived from 4c.